

**Applicant Initiated Interview Request Form**

Application No.: 10 / 077,307 First Named Applicant: Derek Raybould  
 Examiner: Andrew L. Oltmans Art Unit: 1742 Status of Application: 2b

**Tentative Participants:**

(1) Andrew L. Oltmans (2) Dr. David Robeson  
 (3) Richard Fuerle (4) \_\_\_\_\_

Proposed Date of Interview: 10/02/2003 Proposed Time: 8:30 am (AM/PM)

**Type of Interview Requested:**

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

**Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>1-5, etc.</u>	<u>Petzoldt, et al.</u>	<u>  </u>	<u>  </u>	<u>  </u>
(2) <u>Rej.</u>	<u>1-5, etc.</u>	<u>WO 98/54531</u>	<u>  </u>	<u>  </u>	<u>  </u>
(3) _____	_____	_____	<u>  </u>	<u>  </u>	<u>  </u>
(4) _____	_____	_____	<u>  </u>	<u>  </u>	<u>  </u>

☐ Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

Differences between the invention and prior art processes -- formation of an alumina layer & other temperature effects --  
(deposition temperature, temp. regime, heating/cooling rates)

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(h)) as soon as possible.

li. \_\_\_\_\_  
 (Applicant/Applicant's Representative Signature)

ky \_\_\_\_\_  
 (Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**DiPINTO & SHIMOKAJI, P.C.**  
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**PLEASE HAND DELIVER THIS FACSIMILE TO:**

NAME: Andrew Oltmans  
COMPANY: PTO  
FAX NO.: 703-872-9685  
NUMBER OF PAGES (including cover sheet): 2  
DOCUMENT(S): Applicant Initiated Interview  
MESSAGE: Request Form

If transmission is not complete, call Karen at (949) 223-0838  
immediately.

FROM: Michael A. Shimokaji  
DATE: 10/1/02  
FILE NAME: 10/077,307  
FILE NO: 039-0283A